

**UNITED STATES
PATENT AND TRADEMARK OFFICE**





Trial Statistics

IPR, PGR, CBM

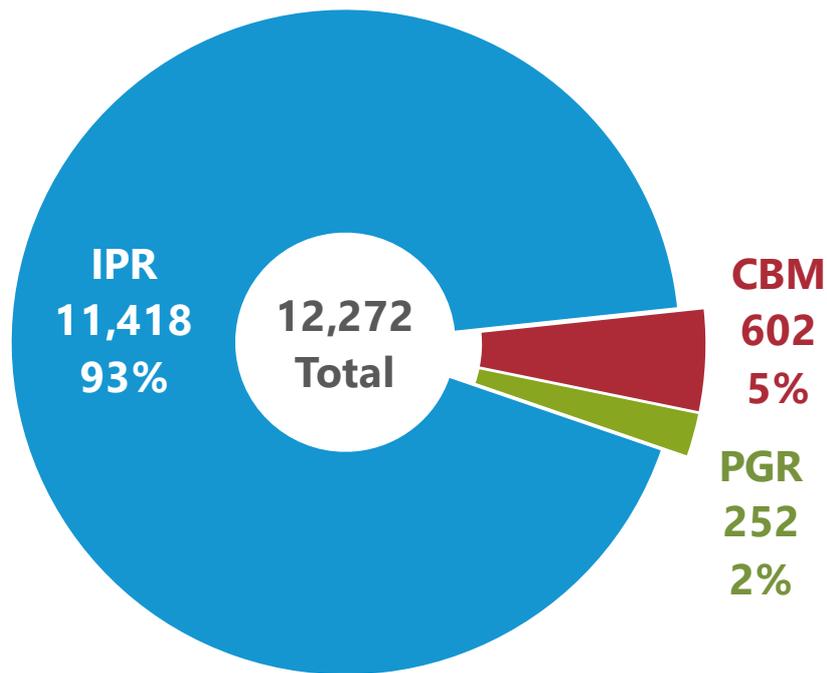
Patent Trial and Appeal Board
October 2020

UNITED STATES
PATENT AND TRADEMARK OFFICE



Petitions by Trial Type

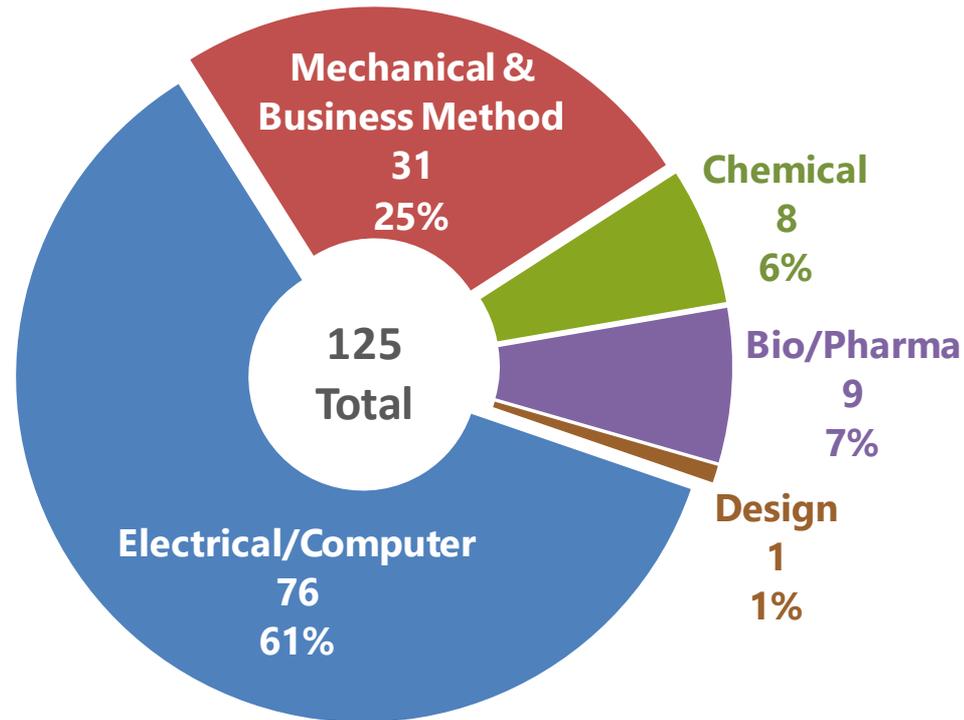
(All Time: Sept. 16, 2012 to Oct. 31, 2020)



Trial types include Inter Partes Review (IPR), Post Grant Review (PGR), and Covered Business Method (CBM).

Petitions Filed by Technology in FY21

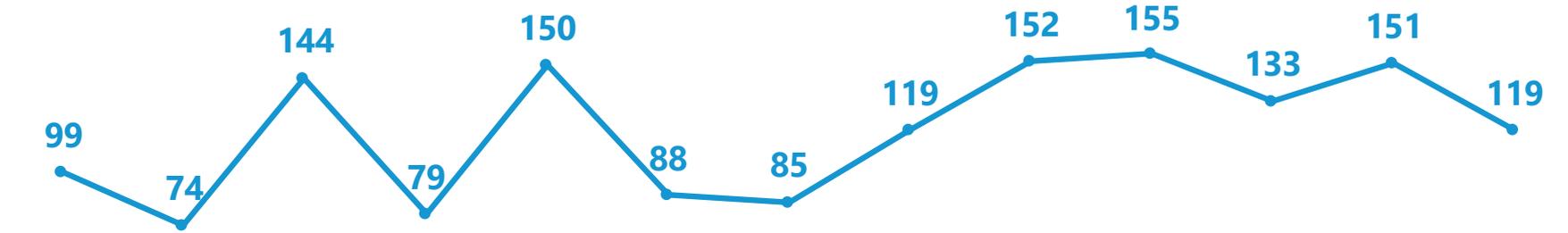
(FY21: Oct. 1, 2020 to Oct. 31, 2020)



Petitions Filed by Month

(Oct. 2020 and Previous 12 Months: Oct. 1, 2019 to Oct. 31, 2020)

(119 IPRs in FY21)



Oct-19

IPR

Oct-20

(6 PGRs in FY21)



PGR

(0 CBMs in FY21)

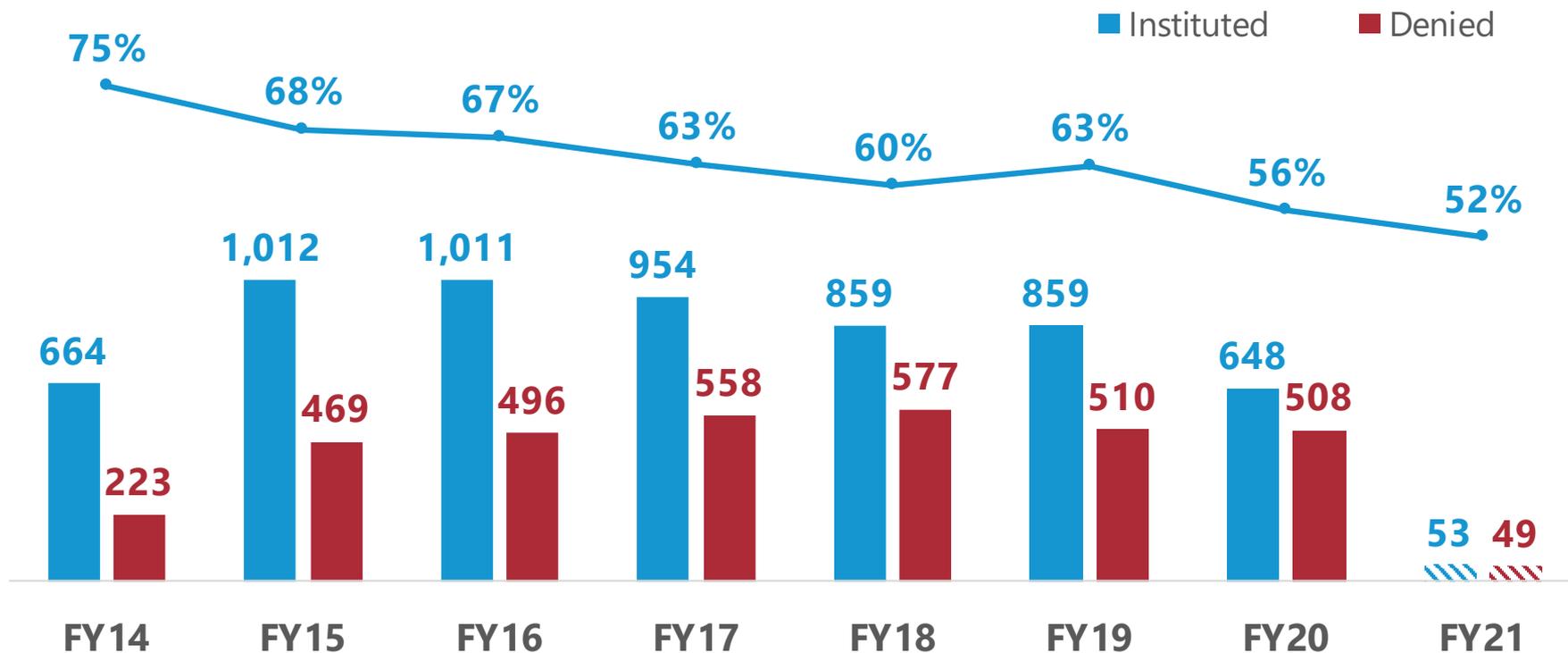


CBM



Institution Rates

(FY14 to FY21: Oct. 1, 2013 to Oct. 31, 2020)

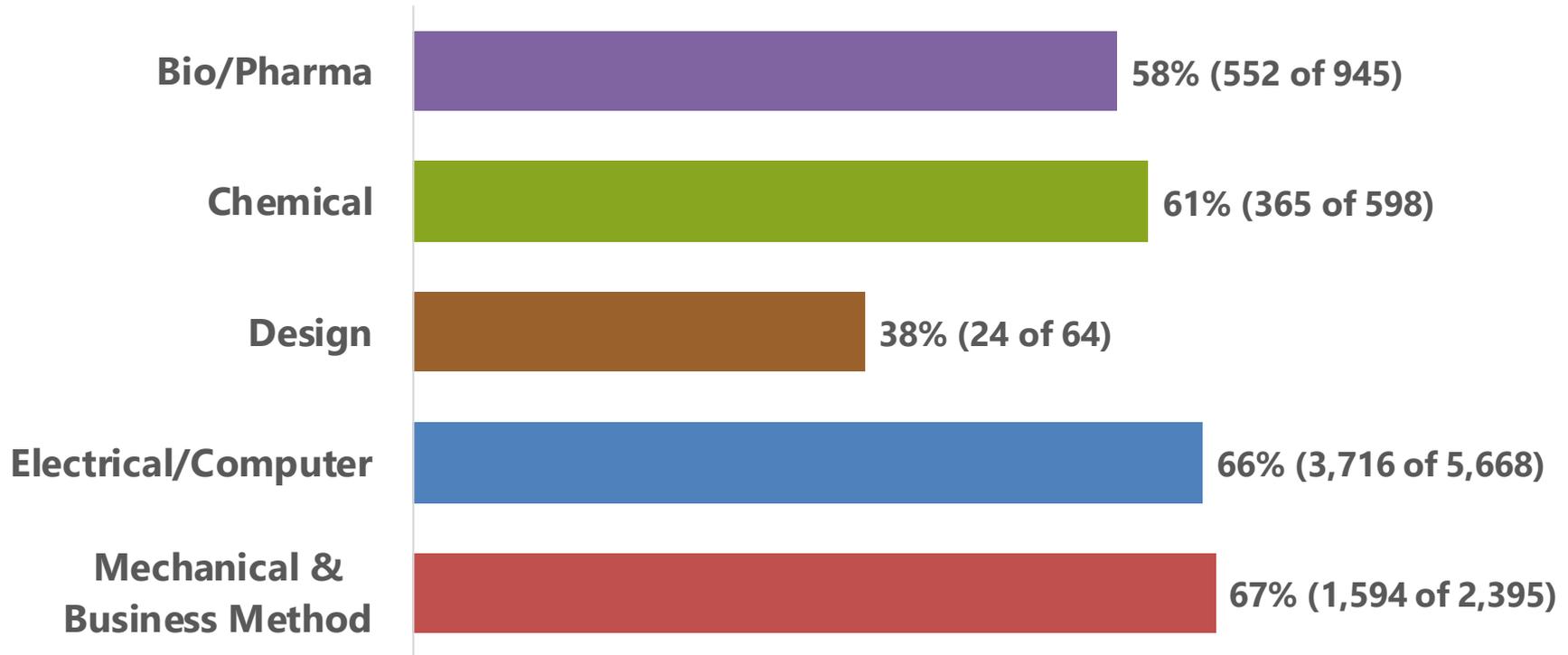


Institution rate for each fiscal year is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.



Institution Rates by Technology

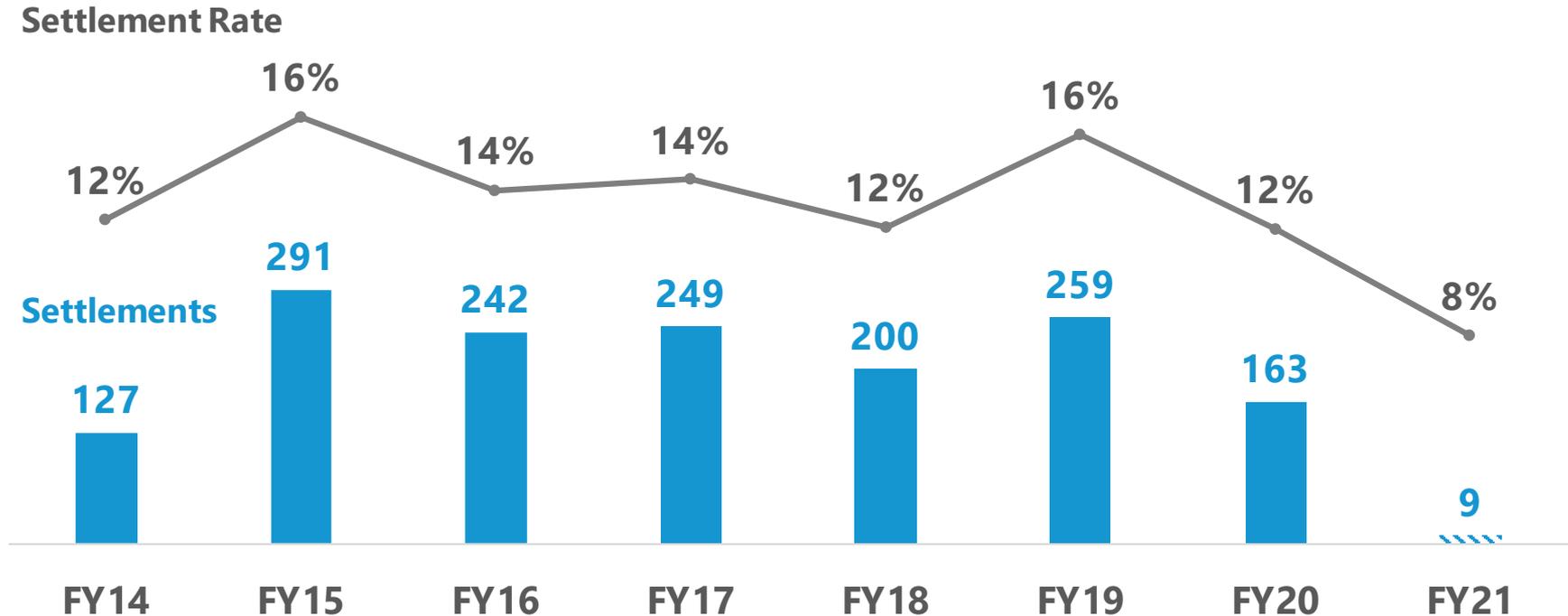
(All Time: Sept. 16, 2012 to Oct. 31, 2020)



Institution rate for each technology is calculated by dividing petitions instituted by decisions on institution (i.e., petitions instituted plus petitions denied). The outcomes of decisions on institution responsive to requests for rehearing are excluded.

Pre-Institution Settlements

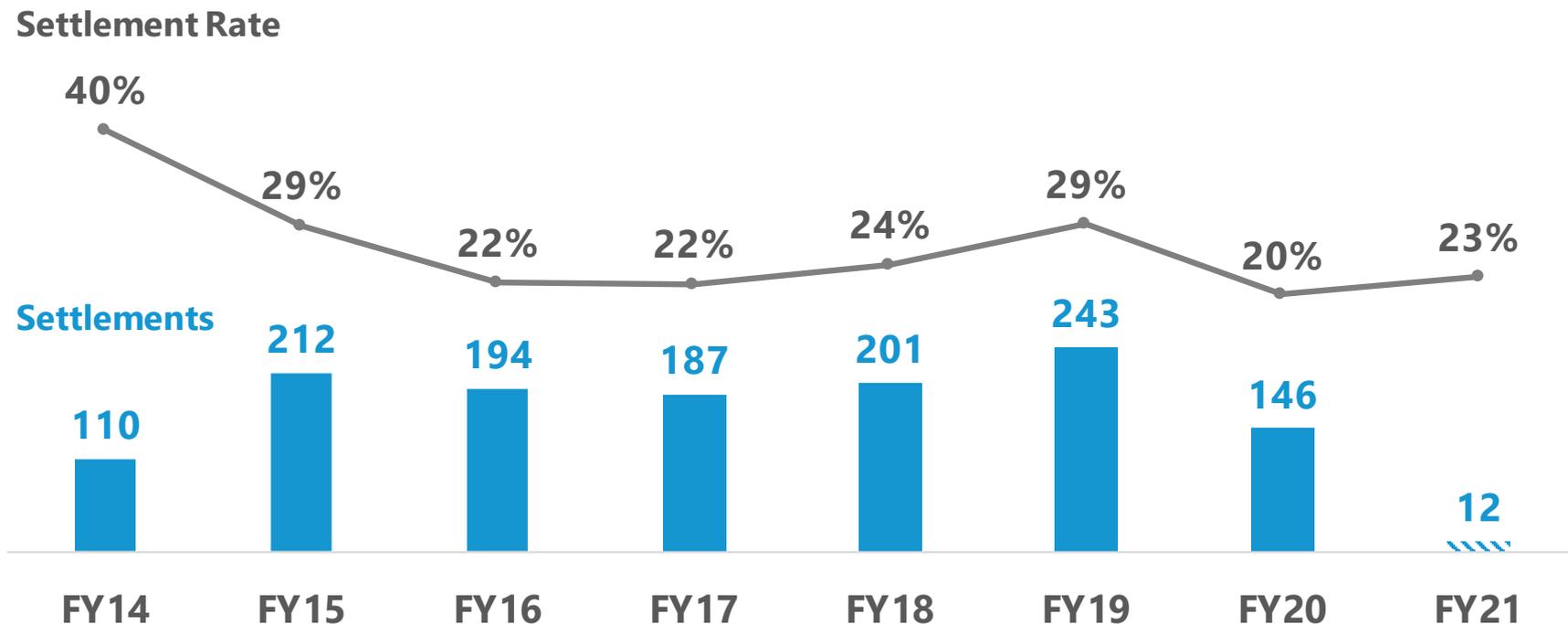
(FY14 to FY21: Oct. 1, 2013 to Oct. 31, 2020)



Settlement rate for each year is calculated by dividing pre-institution settlements by the sum of proceedings instituted, denied institution, dismissed, terminated with a request for adverse judgment, and settled before decision on institution.

Post-Institution Settlements

(FY14 to FY21: Oct. 1, 2013 to Oct. 31, 2020)



Settlement rate for each year is calculated by dividing post-institution settlements by proceedings terminated post-institution (i.e., settled, dismissed, terminated with a request for adverse judgment, and final written decision), excluding joined cases.

